



PATENT  
Attorney Docket No.: 3414

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Matsuzaki et al.

Examiner: TBA

Serial No: 09/916,135

Group Art Unit: TBA

Filing Date: 7/25/2001

Title: **COMPLEXITY MANAGEMENT  
OF GENOMIC DNA**

Commissioner for Patents  
Washington, D.C. 20231

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Enclosed is a copy of the PCT International Search Report PCT/US02/23570. Two references, U.S. 5,972,693 (Rothberg et al.) and U.S. 5,876,929 (Wigler et al.), are omitted from the Modified Form-1449, because they have been cited in previously filed Information Disclosure Statements dated July 24, 2002 and December 6, 2001, respectively.

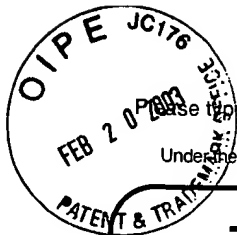
Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

Dated: Feb. 14, 2003

Leticia Block  
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Reg. No. 50,167

#16158637  
3/2/03

PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/916,135

Filing Date July 25, 2001

First Named Inventor Matsuzaki, et al.

Group Art Unit TBD

Examiner Name TBD

Total Number of Pages in This Submission

Attorney Docket Number 3414

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TECH CENTER 1600/2900**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

- Return Receipt Postcard;

- Three (3) Copies of US patents;

- One (1) copy of foreign patent;

- Form 1449.

Remarks

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name

Leticia Block, Reg. No. 50,167

Signature

Leticia Block

Date

02-14-2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 2/14/03

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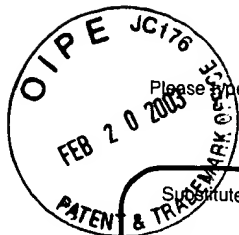
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	09/916,135
Filing Date	July 25, 2001
First Named Inventor	Matsuzaki et al.
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	3414

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	6,372,434 B1		Weissman et al.	4/16/02	
	2					
	3					
	4					
	5					
	6					
	7					
	8					
	9					

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	WO 00/24939		Affymetrix, Inc.	May 4, 2000		

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner  
Signature

Date  
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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